

2022 ANNUAL PROBATION SURVEY

U.S. Department of Justice, Bureau of Justice Statistics, and acting as collection agent: RTI International

Please complete this survey before **February 28, 2023** using one of the following methods:

Online: www.bjs-aps.org

Mail: RTI International
Research Operations Center
ATTN: ASPP Data Capture
5265 Capital Blvd.
Raleigh, NC 27616

Fax: 1-866-509-7471

Please provide the contact information for the person filling out this form:

Job title:

Name:

Address 1:

Address 2:

City:

State:

Zip Code:

Telephone:

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Email Address:

Agency UID:

***If you have any questions, contact RTI International at
1-866-334-4175 or bjs-aps-help@rti.org.***

BURDEN STATEMENT

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0064, Washington, DC 20503.

Instructions

- **Please provide a response to each question. Blanks will be interpreted as "unknown" ("DK").**
 - *If the answer to a question is "none" or "zero":* Write "0" in the space provided.
 - *If the answer to a question is "unknown":* Write "DK" in the space provided.
 - *If the answer to a question is "not applicable":* Check the *Not applicable* box (if present) or write "NA" in the space provided.
 - *When an exact numeric answer is not available:* Provide an estimate and check the *Estimate* box.
- **Who is covered by this survey?**
 - **Include** all adults regardless of conviction status, who have been placed under the supervision of a probation agency as part of a court order. (Adults are persons subject to the jurisdiction of an adult court or correctional agency.)
 - **Include** adult probationers legally your responsibility but supervised outside your jurisdiction, such as through an interstate compact agreement.
 - **Include** adult probationers contracted out to private agencies.
 - **Include** adult probationers on active supervision, including those who report electronically, on inactive supervision, or in a residential/other treatment program but not in regular contact with a probation authority.
 - **Include** absconders who have not been discharged from probation.
 - **Include** adult probationers who may be under local jurisdiction but not reported separately by another agency.
- **Who is not covered by this survey?**
 - **Exclude** juveniles (persons under the jurisdiction of a juvenile court or corrections agency).
 - **Exclude** informal cases such as bench or paper cases.
 - **Exclude** interstate compact cases supervised by your jurisdiction for another state.
 - **Exclude** adult probationers supervised by your jurisdiction but legally the responsibility of another jurisdiction.

Your agency's adult probation population on December 31, 2021:

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If this is incorrect, please update:

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Estimate:

1. On January 1, 2022, what was your agency's adult probation population?

Include adult probationers who have been placed under the supervision of a probation agency as part of a court order, regardless of conviction status.

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Estimate:

2. Between January 1, 2022, and December 31, 2022, how many adults entered probation by each of the following?

Individuals entering probation more than once during the year should be counted each time (e.g., entered, discharged, and re-entered should be counted as two entries). Individuals who enter probation and, without being discharged, are placed on probation for a second offense, should be counted as one entry.

a. Probation without incarceration. *Probation without incarceration is a sentence to probation with no jail or prison term.*

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Estimate:

b. Probation with incarceration. *Probation with incarceration is a sentence to probation with a term of incarceration in jail or prison (e.g., those individuals who entered probation from a correctional facility). Include all split sentences to probation and incarceration.*

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Estimate:

c. Other. Please describe:

,

Estimate:

d. Not known.

,

Estimate:

e. Total entries *(Should equal the sum of items 2a through 2d).*

,

Estimate:

4. On December 31, 2022, what was your agency's adult probation population?

The count of adult probationers at year-end 2020. This total should equal the population on January 1, 2020, plus the total entering probation in 2020, minus the total discharged from probation in 2020. (See cover page for persons to INCLUDE and EXCLUDE.)

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Estimate:

5. Does the total population of adult probationers on December 31, 2022 (reported in Question 4) represent a count of probationers or cases?

Probationers → **Go to Question 6**

Cases

5a. About how many probationers do these cases represent?

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Estimate:

6. On December 31, 2022, how many adult probationers in your jurisdiction were –

a. Male.

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Estimate:

b. Female.

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Estimate:

c. Not known.

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Estimate:

d. Total (Sum of items 6a through 6c should equal Question 4).

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Estimate:

7. On December 31, 2022, how many adult probationers in your jurisdiction were

a. **White** (not of Hispanic origin). *A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.*

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Estimate:

b. **Black or African American** (not of Hispanic origin). *A person having origins in any of the black racial groups of Africa.*

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Estimate:

c. **Hispanic or Latino**. *A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.*

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Estimate:

d. **American Indian/Alaska Native** (not of Hispanic origin). *A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.*

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Estimate:

e. **Asian** (not of Hispanic origin). *A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.*

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Estimate:

f. **Native Hawaiian/Other Pacific Islander** (not of Hispanic origin). *A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.*

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Estimate:

g. **Two or more races.**

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Estimate:

h.

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Estimate:

i. **Not known.**

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Estimate:

j. **Total** (Sum of items 7a through 7i should equal Question 4).

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Estimate:

8. On December 31, 2022, how many adult probationers had as their most serious offense

a. **Felony.**

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Estimate:

b. **Misdemeanor.**

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Estimate:

c.

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Estimate:

d. **Not known.**

,

Estimate:

e. **Total** (Sum of items 8a through 8d should equal Question 4).

,

Estimate:

9. Does your agency have responsibility for supervising felons?

- Yes
- No

10. On December 31, 2022, how many adult probationers (reported in Question 4), regardless of conviction status, had as their most serious offense –

a. **Domestic violence.** *Include abuse, cruelty, abandonment, or threat to a spouse, intimate partner, or dependent child. Exclude offenses reported in items 10b and 10c.* □□□□, □□□□
Estimate: □

b. **Sex offense.** *Include any forcible or nonforcible sex act. Exclude prostitution, commercialized vice, and offenses reported in items 10a and 10c.* □□□□, □□□□
Estimate: □

c. **Other violent offense.** *Include murder, manslaughter, robbery, kidnapping, assault, and other violent offenses. Exclude offenses reported in items 10a and 10b.* □□□□, □□□□
Estimate: □

d. **Property offense.** *Include burglary, larceny, motor vehicle theft, fraud, and other property offenses.* □□□□, □□□□
Estimate: □

e. **Drug law violation.** *Include unlawful possession, sale, use, distribution, importation, growing, or manufacturing of narcotic drugs.* □□□□, □□□□
Estimate: □

f. **Driving while intoxicated or under the influence of alcohol or drugs.** □□□□, □□□□
Estimate: □

g. **Other traffic offense.** *Exclude offenses reported in item 10f.* □□□□, □□□□
Estimate: □

h. **Other offense. Please describe:** □□□□, □□□□
Estimate: □

i. **Not known.** □□□□, □□□□
Estimate: □

j. **Total** *(Each total should equal the sum of items 10a-10i and should also equal Question 4).* □□□□, □□□□
Estimate: □

11. On December 31, 2022, were any adult probationers legally your responsibility being supervised by another state through an interstate compact agreement? *Include probationers SENT to another state.*

- Yes
- No → **Go to Question 12**

11a. How many adult probationers legally your responsibility were supervised by another state?

□□□□, □□□□
Estimate: □

11b. Are these probationers included in Question 4?

- Yes
- No

12. Does the total probation population on December 31, 2022 (reported in Question 4) include interstate compact cases supervised by your agency for another state that could not be excluded from the total?

- Yes
- No → Go to Question 13

12a. How many adult probationers were being supervised by your agency for another state that could not be excluded from the total?

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 Estimate:

13. Does the total probation population on December 31, 2022 (reported in Question 4) include any persons who were also under parole supervision, or who were held in jail, prison, a community-based correctional facility, or a U.S. Bureau of Immigration and Customs Enforcement (ICE) holding facility?

- Yes
- No → Go to Question 14

13a. How many were also on parole supervision?

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 Estimate:

13b. How many were in local jails? Include evening confinements.

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 Estimate:

13c. How many were in a state or federal prison?

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 Estimate:

13d. How many were in a community-based correctional facility?

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 Estimate:

13e. How many were in an ICE holding facility?

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 Estimate:

14. Of those on probation on December 31, 2022 (reported in Question 4), how many were placed on probation supervision through –

a. **Direct sentence to probation.**

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Estimate:

b. **Split sentence.** *A split sentence is incarceration combined with probation.*

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Estimate:

c. **Suspended execution of sentence to incarceration.** *Suspended execution of sentence to incarceration is an incarceration sentence imposed and then suspended.*

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Estimate:

d. **Suspended imposition of sentence.** *Suspended imposition of sentence includes persons not fully adjudicated (e.g., probation before verdict).*

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Estimate:

e. **Other. Please describe:**

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Estimate:

f. **Not known.**

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Estimate:

g. **Total** *(Sum of items 14a through 14f should equal Question 4).*

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Estimate:

15. On December 31, 2022, how many adult probationers supervised by your agency had a status of each of the following?

Exclude probationers supervised by your jurisdiction for another state through an interstate compact agreement or by your jurisdiction but legally the responsibility of another jurisdiction.

a. **Active.** *Those required to regularly contact a probation authority in person, by mail, by telephone, or electronically. Exclude those reported in items 15b-15g.* ,
 Estimate:

b. **In residential/other treatment program.** *Include those on probation who were reporting to a treatment program, but not in regular contact with a probation authority. Exclude those reported in items 15a and 15c-15g.* ,
 Estimate:

c. **Only have financial conditions remaining.** *Exclude those reported in items 15a, 15b, and 15d-15g.* ,
 Estimate:

d. **Inactive.** *Exclude those reported in items 15a-15c and 15e-15g.* ,
 Estimate:

e. **Absconder.** *Include those still on probation but who have failed to report and cannot be located. Exclude those reported in items 15a-15d, 15f, and 15g.* ,
 Estimate:

f. **Warrant status.** *Exclude those reported in items 15a-15e and 15g.* ,
 Estimate:

g. **Supervised out of jurisdiction.** *Include active and inactive probationers under your jurisdiction who are supervised by authorities of another jurisdiction. Exclude those reported in items 15a-15f.* ,
 Estimate:

h. **Other. Please describe:** ,

 Estimate:

i. **Not known.** ,
 Estimate:

j. **Total** *(Sum of items 15a through 15i should equal Question 4).* ,
 Estimate: