### 2021 ANNUAL PROBATION SURVEY

U.S. Department of Justice, Bureau of Justice Statistics, and acting as collection agent: RTI International

Please complete this survey before February 28, 2022 using one of the following methods:

Online: www.bjs-aps.org

Mail: RTI International Research Operations Center ATTN: ASPP Data Capture 5265 Capital Blvd. Raleigh, NC 27616

Fax: 1-866-509-7471

Please provide the contact information for the person filling out this form:

Job title:	
Name:	
Address 1:	
Address 2:	
City:	
State:	
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Fax:	
Email Address:	
Agency UID:	[Pre-filled field]

If you have any questions, contact RTI International at 1-866-334-4175 or bjs-aps-help@rti.org.

#### **BURDEN STATEMENT**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 95 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0064, Washington, DC 20503.

#### Instructions

- Please provide a response to each question.
  - o If the answer to a question is **none** or **zero**: Write "0" in the space provided.
  - o *If an exact numeric answer is not available*: Provide an estimate and check the *Estimate* box.
  - o If you do not know the answer to a question: Write "DK" (don't know) in the space provided.
  - If the question does not apply to your agency: Check the Not applicable box (if present) or write "NA" in the space provided.

#### • Who is covered by this survey?

- Include all adults who have been placed under the supervision of a probation agency as part of a court order, regardless of conviction status. The term "adults" refers to all persons under the jurisdiction of an adult court or correctional agency, regardless of age.
- Include adult probationers legally your responsibility but supervised outside your jurisdiction, such as through an interstate compact agreement.
- o Include adult probationers contracted out to private agencies.
- Include adult probationers on active supervision, including those who report electronically, on inactive supervision, or in a residential/other treatment program but not in regular contact with a probation authority.
- Include absconders (probationers who had failed to report and could not be located) who have not been discharged from probation.
- Include adult probationers who may be under local jurisdiction but not reported separately by another agency.

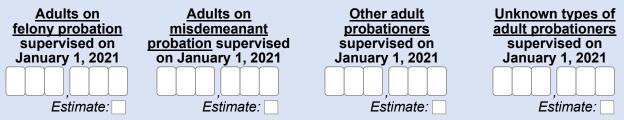
### • Who is not covered by this survey?

- Exclude juveniles (persons under the jurisdiction of a juvenile court or corrections agency).
- Exclude informal cases such as bench or paper cases.
- o Exclude interstate compact cases supervised by your jurisdiction for another state.
- Exclude adult probationers supervised by your jurisdiction but legally the responsibility of another jurisdiction.

- 1. Our records show that on December 31, 2020 your agency was supervising [FILL POPULATION] adult probationers. Is this correct?
  - Yes
  - No → Please provide the correct number. If none, please enter 0. If an exact number is not available, please provide an estimate and check the "Estimate" box.



2. On January 1, 2021, how many of the following types of adult probationers was your agency supervising? If your agency was supervising individuals who had more than one type of charge, please report them in the category that represents their most serious offense. If none, please enter 0 for all population types as appropriate. If an exact number is not available, please provide an estimate and check the "Estimate" box.



For the remainder of the survey, those whose most serious offense is a felony will be referred to as "felons" and those whose most serious offense is a misdemeanor will be referred to as "misdemeanants." If a probationer had more than one type of charge, please report them in the category that represents their most serious offense.

3. Between January 1, 2021, and December 31, 2021, how many adult felons and misdemeanants entered probation by the following? Do not include individuals already being supervised (reported above). Select "Not applicable" if your agency did not supervise that type of probationer in 2021. Otherwise, please provide the number or enter 0. If an exact number is not available, please provide an estimate and check the "Estimate" box.

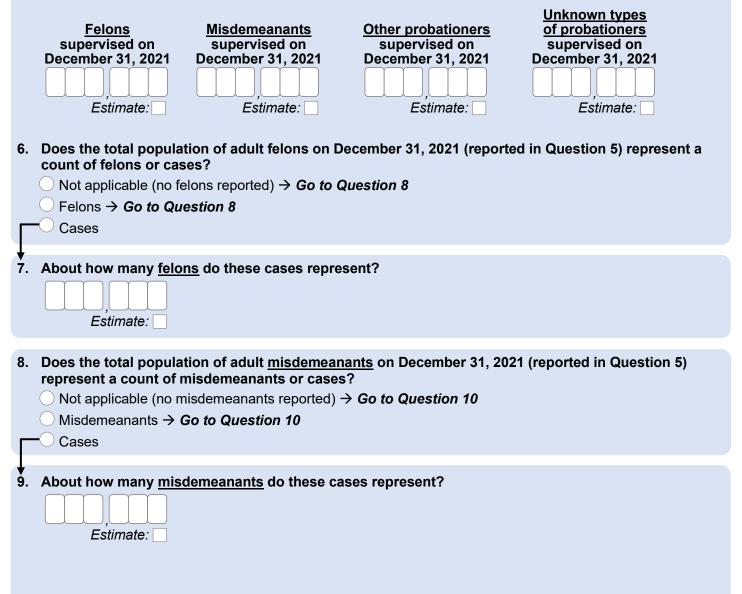
	<u>Felons</u>	<u>Misdemeanants</u>
	Not Applicable:	Not Applicable:
a. <b>Probation without incarceration.</b> Probation without incarceration is a sentence to probation with no jail or		
prison term.	Estimate:	Estimate:
b. <b>Probation with incarceration</b> . Probation with incarceration is a sentence to probation with a term of incarceration in jail or prison (e.g., those individuals who entered probation from a correctional facility). Include all split sentences to	Estimate:	Estimate:
probation and incarceration.		
c. Other. Please describe:		
	Estimate:	Estimate:
d. <b>Not known.</b>		
	Estimate:	Estimate:
e. <b>Total entries.</b> (Each total should equal the sum of Questions 3a-3d.)		
,	Estimate:	Estimate:

As you answer the remaining questions, please continue to enter a response in each box. If an exact number is not available, please provide an estimate and check the "Estimate" box.

. E	Between January 1, 2021, and December 31, 2021, how many adu	ult felons and misdem	eanants were
C	lischarged from supervision for the following reasons?	<u>Felons</u> discharged in 2021	<u>Misdemeanants</u> discharged in 2021
		Not Applicable:	Not Applicable:
	a. <b>Completions</b> (Probationers who completed their sentence and were discharged or who were discharged early.)	Estimate:	Estimate:
	b. Returned to incarceration –	Estimate.	Estimate.
	i. With new sentence (Includes all probationers and returned		
	absconders removed from probation supervision because of incarceration due to a sentence for a new offense.)	Estimate:	Estimate:
	ii. With revocation, without new sentence (Includes all		
	probationers and returned absconders whose probation was		
	revoked [e.g., violating a condition of their probation] and who were incarcerated under their current sentence.)	Estimate:	Estimate:
	iii. To receive treatment (Includes all probationers incarcerated		
	in order to receive any type of treatment.)	Estimate:	Estimate:
	iv. <b>Other</b> (Includes probationers incarcerated pending a revocation, trial, sentencing, or others.) <b>Please describe:</b>		
		Estimate:	Estimate:
	v. Not known (Includes probationers who have been		
	incarcerated, for whom the reason was unknown.)	Estimate:	Estimate:
	c. Absconder (Refers to absconders who have been discharged		
	or are no longer in your system.)	Estimate:	
	d. Discharged to a warrant or retainer		
	e. Transferred to another probation agency (Refers to		
	probationers transferred to another agency if they are no longer in your record system.)	Estimate:	Estimate:
	f. Death		
	i. Death	Estimate:	Estimate:
	g. Other discharges –		
	<ul> <li>i. Other completions (Completions which did not fully meet the definition of Question 4a.) Please describe:</li> </ul>		
		Estimate:	Estimate:
	ii. Unsatisfactory (Probationers who did not fulfill all conditions of		
	their supervision or violated the conditions of their supervision but were not incarcerated [Question 4b] or discharged to a		
	warrant or detainer [Question 4d]. Exclude absconders [Question 4c].) <b>Please describe:</b>	Loundle.	Loundle.
	h. Other. Please describe:		
		Estimate:	Estimate:
	i. Not known	Estimate:	Estimate:
	j. <b>Total discharges</b> (Should equal the sum of Questions 4a-4h.)		
		Estimate:	Estimate:

5. On December 31, 2021, how many of the following types of adult probationers was your agency supervising? If your agency was supervising individuals who had more than one type of charge, please report them in the category that represents their most serious offense.

The number of felons and misdemeanants should equal the population on January 1 [Question 2], plus the total entering probation in 2021 [Question 3e], minus the total discharged from probation in 2021 [Question 4j].



## 10. On December 31, 2021, how many of the adult felons and misdemeanants (reported in Question 5), regardless of conviction status, had as their most serious offense –

	Felons Not Applicable:	Misdemeanants Not Applicable:
a. <b>Domestic violence.</b> Include abuse, cruelty, abandonment, or threat to a spouse, intimate partner, or dependent child. Exclude offenses reported in Questions 10b and 10c.	Estimate:	Estimate:
b. <b>Sex offense.</b> Include any forcible or nonforcible sex act. Exclude prostitution, commercialized vice, and offenses reported in Questions 10a and 10c.	Estimate:	Estimate:
c. <b>Other violent offense.</b> Include murder, manslaughter, robbery, kidnapping, assault, and other violent offenses. Exclude offenses reported in Questions 10a and 10b.	Estimate:	Estimate:
d. <b>Property offense.</b> Include burglary, larceny, motor vehicle theft, fraud, and other property offenses.	Estimate:	Estimate:
e. <b>Drug law violation.</b> Include unlawful possession, sale, use, distribution, importation, growing, or manufacturing of narcotic drugs.	Estimate:	Estimate:
f. Driving while intoxicated or under the influence of alcohol or drugs.	Estimate:	Estimate:
g. <b>Other traffic offense.</b> Exclude offenses reported in Question 10f.	Estimate:	Estimate:
h. Other offense. Please describe:	Estimate:	Estimate:
i. Not known.	Estimate:	Estimate:
j. <b>Total.</b> (Each total should equal the sum of Questions 10a-10i and should also equal the number reported in Question 5.)		Estimate:

- 11. Does your agency's total probation population on December 31, 2021 (reported in Question 5) include any persons who were also under parole supervision, or who were held in jail, prison, a community-based correctional facility, or a U.S. Bureau of Immigration and Customs Enforcement (ICE) holding facility?
  - Yes
    - No → Go to Question 13
- 12. Of the adult probationers your agency was supervising on December 31, 2021 (reported in Question 5), how many felons and misdemeanants were –

	<u>Felons</u>	<u>Misdemeanants</u>
	Not Applicable:	Not Applicable:
a. On parole supervision.	Estimate:	Estimate:
b. In local jails. Include evening confinements.	Estimate:	Estimate:
c. In a state or federal prison.	Estimate:	Estimate:
d.In a community-based correctional facility.	Estimate:	Estimate:
e.In an ICE holding facility.	Estimate:	Estimate:

13. Of those on probation on December 31, 2021 (reported in Question 5), how many adult felons and misdemeanants were placed on probation supervision through –

	Feions	Misdemeanants
	Not Applicable:	Not Applicable:
a. Direct sentence to probation.		
·	Estimate:	Estimate:
b. <b>Split sentence.</b> (Incarceration combined with probation.)		
	Estimate:	Estimate:
c. Suspended execution of sentence to incarceration.		
(Incarceration sentence imposed and then suspended.)	Estimate:	Estimate:
d. Suspended imposition of sentence. (Persons not fully		
adjudicated, e.g., probation before verdict.)	Estimate:	Estimate:
e. Other. Please describe:		
	Estimate:	Estimate:
f. Not known.		
	Estimate:	Estimate:
g. <b>Total.</b> (Each total should equal the sum of Questions 13a-13f		
and should also equal the number reported in Question 5.)	Estimate:	Estimate:

### 14. On December 31, 2021, how many of the adult felons and misdemeanants (reported in Question 5) had a status of –

	<u>Felons</u>	<u>Misdemeanants</u>
	Not Applicable:	Not Applicable:
a. <b>Active.</b> Include those required to regularly contact a probation authority in person, by mail, by telephone, or		
electronically.	Estimate:	Estimate:
b.Inactive.		
	Estimate:	Estimate:
c. <b>Total.</b> (Each total should equal the sum of Questions 14a-14b and should also equal the number reported in Question 5.)		
	Estimate:	Estimate:

# 15. On December 31, 2021, how many of the adult felons and misdemeanants (reported in Question 5) had a status of –

	<u>Felons</u>	<u>Misdemeanants</u>
	Not Applicable:	Not Applicable:
a. Only have financial conditions remaining.		
	Estimate:	Estimate:
b. On supervised or administrative probation.		
	Estimate:	Estimate:
c. Absconder. Include those still on probation but who have		
failed to report and cannot be located.	Estimate:	Estimate:
d.Warrant status.		
	Estimate:	Estimate:
e. <b>Supervised out of jurisdiction.</b> Include active and inactive probationers under your jurisdiction who are supervised by		
authorities of another jurisdiction.	Estimate:	Estimate:
f. Other. Please describe:		
	Estimate:	Estimate:
g. <b>Not known.</b>		
	Estimate:	Estimate:

16. Are the following population types included in the total number of adult probationers on December 31, 2021 (reported in Question 5)? If yes, please provide the number.

	Included in the total number of adult probationers (Question 5)? →		<i>(If yes)</i> How many?
	No	Yes	
a. <b>Adults on parole</b>	$\bigcirc$	○ If yes →	Estimate:
b. Adults who have been released from prison to serve a period of time under community supervision	$\bigcirc$	$\bigcirc$ If yes $\rightarrow$	Estimate:
c. Adults on some other type of post-custody conditional release. Please describe:	$\circ$	If yes →	Estimate:
d. Adults awaiting trial	$\bigcirc$	$\bigcirc$ If yes $\rightarrow$	Estimate:
e. Adults serving a term of pre-trial probation or other type of probation prior to conviction	$\bigcirc$	◯ If yes →	Estimate:
f. Adults in prison	$\bigcirc$	○ If yes →	Estimate:
g. <b>Adults in jail</b>	$\bigcirc$	O If yes →	Estimate:
h.Other offense. Please describe:	$\bigcirc$	$\bigcirc$ If yes $\rightarrow$	Estimate:

17. On December 31, 2021, how many of the adult felons and misdemeanants your agency was supervising (reported in Question 5) were –

	<u>Felons</u>	<u>Misdemeanants</u>
	Not Applicable:	Not Applicable:
a. <b>Male</b>		
	Estimate:	Estimate:
b. <b>Female</b>		
	Estimate:	Estimate:
c. Not known		
	Estimate:	Estimate:
d. <b>Total</b> (Each total should equal the sum of Questions 17a-17c and should also equal the number reported in Question 5.)		
	Estimate:	Estimate:

# 18. On December 31, 2021, how many of the adult felons and misdemeanants your agency was supervising (reported in Question 5) were –

	<u>Felons</u>	<u>Misdemeanants</u>
	Not Applicable:	Not Applicable:
a. White (not of Hispanic origin). A person having origins in any of the original peoples of Europe, the Middle East, or		
North Africa.	Estimate:	Estimate:
b. Black or African American (not of Hispanic origin). A person having origins in any of the black racial groups of		
Africa.	Estimate:	Estimate:
c. <b>Hispanic or Latino.</b> A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.	Estimate:	Estimate:
d.American Indian/Alaska Native (not of Hispanic origin).		
A person having origins in any of the original peoples of		
North and South America (including Central America) and who maintains tribal affiliation or community attachment).	Estimate:	Estimate:
e. Asian (not of Hispanic origin). A person having origins in any of the original peoples of the Far East, Southeast Asia,		
or the Indian subcontinent including, for example,	Estimate:	Estimate:
Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.	LSumale.	LSundle.
f. Native Hawaiian/Other Pacific Islander (not of Hispanic		
origin). A person having origins in any of the original		
peoples of Hawaii, Guam, Samoa, or other Pacific Islands.	Estimate:	Estimate:
a Two or more reaso (not of Uispania origin)		
g. Two or more races (not of Hispanic origin).	Estimate:	Estimate:
k. Additional categories in your information system.		
Please describe:		
	Estimate:	Estimate:
h. <b>Not known.</b>		
	Estimate:	Estimate:
i. <b>Total.</b> (Each total should equal the sum of Questions 18a-18h		
and should also equal the number reported in Question 5.)		
	Estimate:	Estimate: