FORM **SSV-1** (5-17-2017)



# SURVEY OF SEXUAL VICTIMIZATION, 2016 Federal Bureau of Prisons Summary Form

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
Economics and Statistics Administration
U.S. CENSUS BUREAU

	Marian		•					
		DATA SUF	PLIED B	Y				
Name			Title					
OFFICIAL	Number and	street or P.O. Box/Route Number		City		State	ZIP Code	
ADDRESS								
TELEPHONE	Area code	Number		FAX	1	Area Code	Number	
IELEPHONE				NUMBER				
E-MAIL								
ADDRESS	7							

(Please correct any error in name, mailing address, and ZIP Code)

# What facilities are included in this data collection?

All confinement facilities operated by the Federal Bureau of Prisons.

- INCLUDE prisons, penitentiaries, and correctional institutions; boot camps; community correction facilities; halfway houses; prison farms; reception, diagnostic, and classification centers; road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners.
- EXCLUDE privately-operated facilities. (These facilities will be contacted directly for data on sexual victimization.)

# What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2016, and December 31, 2016.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.
- EXCLUDE incidents involving inmates held in local jails and facilities in other jurisdictions.

# **Reporting instructions:**

- Please complete the entire SSV-1 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "0," mark the box (X) provided.

# Substantiated incidents of sexual violence:

 Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.

# **Returning forms:**

- If you need assistance, please call Greta Clark at the U.S. Census Bureau toll-free at 1-800-253-2078, or e-mail govs.ssv@census.gov
- Please return your completed summary and substantiated incident forms by August 15, 2017.
- You may complete these forms online (see enclosed instructions). Or if you prefer, you may return these forms by mail or fax.
- MAIL TO: U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- FAX (TOLL FREE): 1-888-262-3974

# **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

# Section I – INMATE-ON-INMATE SEXUAL VICTIMIZATION

# **DEFINITIONS**

The survey utilizes the definition of "sexual abuse" as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into three categories of inmate-on-inmate sexual victimization. These categories are:

# **NONCONSENSUAL SEXUAL ACTS**

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

#### **AND**

 Contact between the penis and the vulva or the penis and the anus including penetration, however slight;

#### OR

 Contact between the mouth and the penis, vulva, or anus:

#### **OR**

 Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

# **ABUSIVE SEXUAL CONTACT**

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

### AND

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.
- EXCLUDE incidents in which the contact was incidental to a physical altercation.

# **SEXUAL HARASSMENT**

Repeated and unwanted sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

1. Does the Federal Bureau of Prisons record allegations of inmate-on-inmate NONCONSENSUAL SEXUAL ACTS?					
	01 ☐ Yes → a. Do you record all reported occurrences, or only substantiated ones?				
	01 All				
	02 Substantiated only				
	b. Do you record attempted NONCONSENSUAL SEXUAL ACTS or only completed ones?				
	01 Both attempted and completed				
	02 Completed only				
	O2 ☐ No → Please provide the definition used by the Federal Bureau of Prisons for inmate-on-inmate NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete Items 2 and 3.				
2.	Between January 1, 2016, and December 31, 2016, how many allegations of inmate-on-inmate				
	NONCONSENSUAL SEXUAL ACTS were reported?				
	Number reported None				
	<ul> <li>If an allegation involved multiple victimizations, count only once.</li> </ul>				
	<ul> <li>Exclude any allegations that were reported as consensual.</li> </ul>				
3.	Of the allegations reported in Item 2, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)				
	□ N <sub>2</sub> ,				
	<ul> <li>Substantiated</li></ul>				
	<b>b. Unsubstantiated</b> None				
	<ul> <li>The investigation concluded that evidence was insufficient to determine whether or not the event occurred.</li> </ul>				
	c. Unfounded				
	The investigation determined that the event did NOT occur.				
	d. Investigation ongoing \square None				
	<ul> <li>Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.</li> </ul>				
	e. TOTAL (Sum of Items 3a through 3d)				
	The total should equal the number reported in Item 2.				

4.	Does the Federal Bureau of Prisons record allegations of inmate-on-inmate ABUSIVE SEXUAL CONTACT? (See definitions on page 2.)	7. Does the Federal Bureau of Prisons record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)	
	01 ☐ Yes → Can these be counted separately from allegations of NONCONSENSUAL SEXUAL ACTS?	on ☐ Yes → Do you record all reported allegations or only substantiated ones?	
	01 $\square$ Yes 02 $\square$ No → Skip to Item 7.	01 ☐ All 02 ☐ Substantiated only	
	02 ☐ No → Please provide an explanation in the space below and then skip to Item 7.	02 ☐ No → Please provide an explanation in the space below and then skip to Section II.	
	Between January 1, 2016, and December 31, 2016, how many allegations of inmate-on-inmate ABUSIVE SEXUAL CONTACT were reported?	8. Between January 1, 2016, and December 31, 201 how many allegations of inmate-on-inmate SEXUAL HARASSMENT were reported?	I <b>6,</b>
	Number reported None	Number reported	
	<ul> <li>If an allegation involved multiple victimizations, count only once.</li> </ul>	<ul> <li>If an allegation involved multiple victims or inmate perpetrators, count only once.</li> </ul>	
	<ul> <li>Exclude any allegations that were reported as consensual.</li> </ul>	<ul> <li>Exclude any allegations that were reported as consensual.</li> </ul>	
	Of the allegations reported in Item 5, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)	9. Of the allegations reported in Item 8, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)	
	a. Substantiated	a. Substantiated None	
	<b>b. Unsubstantiated</b>	<b>b. Unsubstantiated</b> None	
	c. Unfounded None	c. Unfounded	
	d. Investigation ongoing	d. Investigation ongoing None	
	e. TOTAL (Sum of Items 6a through 6d)	e. TOTAL (Sum of Items 9a through 9d)	
	<ul> <li>The total should equal the number reported in Item 5.</li> </ul>	The total should equal the number reported in Item 8.	

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# SECTION II – STAFF-ON-INMATE SEXUAL ABUSE

### **DEFINITIONS**

The survey utilizes the definition of "sexual abuse" by a staff member, contractor or volunteer as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into two categories of staff-on-inmate sexual abuse. These categories are:

# **STAFF SEXUAL MISCONDUCT**

Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

 Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

#### OR

Completed, attempted, threatened, or requested sexual acts;

#### **OR**

 Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

#### STAFF SEXUAL HARASSMENT

Repeated verbal statements, comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include—

 Demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

#### OR

Repeated profane or obscene language or gestures.

o1 ☐ Yes →	Do you record al occurrences, or ones?	l reported only subs	d stantiated
	01 All 02 Substantiated	only	
02 □ No →	Please provide an e below and then skip	xplanation i to Item 13.	n the space
December	anuary 1, 2016, a 31, 2016, how m (UAL MISCONDU	anv alleg	ations of reported?
Number re	ported		None
<ul> <li>If an alleg count only</li> </ul>	ation involved multip once.	le victimiza	tions,
many were	gations reported — (Please contact for investigating alleg in order to fully com	the agency lations of se	or office exual
a. Substan	tiated		□ None
b. Unsubst	antiated		□ None
c. Unfound	led		□ None
d. Investig	ation ongoing .		□ None
e. TOTAL (	Sum of Items gh 12d)		□ None
12a tillou			

10. Does the Federal Bureau of Prisons

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13. Does the Federal Bureau of Prisons record allegations of STAFF SEXUAL HARASSMENT (See definitions on page 4.)						
	01 ☐ Yes → Can these alleg separately from SEXUAL MISCO 01 ☐ Yes	allegation	counted ons of STAFF			
	02 ☐ No → Skip to	o Item 16.				
	02 ☐ No → Please provide an explanation in the space below and then skip to Item 16.					
11	Potwoon January 1 2016	and				
14.	Between January 1, 2016, and December 31, 2016, how many allegations of STAFF SEXUAL HARASSMENT were reported?					
	Number reported		None			
	If an allegation involved multiple victims or staff, count only once.					
15. Of the allegations reported in Item 14, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)						
	a. Substantiated		None			
	b. Unsubstantiated		None			
	c. Unfounded		None			
	d. Investigation ongoing		□ None			
	e. TOTAL (Sum of Items 15a through 15d)		None			
	The total should equal the Item 14.	number rep	orted in			

# Section III – TOTAL SUBSTANTIATED

INCIDENTS OF SEXUAL	VICTIMIZATION		
16. What is the total number of incidents reported Items 3a			
Total substantiated incidents	□ None		
→ Please complete a Substantiated Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.			

# NOTES

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