FORM **SSV-1** (4-6-2012)



# **SURVEY OF SEXUAL VIOLENCE, 2011**

# — Federal Bureau of Prisons Summary Form

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
Economics and Statistics Administration
U.S. CENSUS BUREAU

	OTT TEE		,				
		DATA SUF	PLIED B	Y			
Name			Title				
OFFICIAL ADDRESS	Number and s	street or P.O. Box/Route Number		City	State	ZIP Code	
TELEPHONE	Area code	Number		FAX NUMBER	Area Code	Number	
E-MAIL ADDRESS							

(Please correct any error in name, mailing address, and ZIP Code)

#### What facilities are included in this data collection?

All confinement facilities operated by the Federal Bureau of Prisons.

- INCLUDE prisons, penitentiaries, and correctional institutions; boot camps; community correction facilities; halfway houses; prison farms; reception, diagnostic, and classification centers; road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners.
- EXCLUDE privately-operated facilities. (These facilities will be contacted directly for data on sexual violence.)

# What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2011, and December 31, 2011.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.
- EXCLUDE incidents involving inmates held in local jails and facilities in other jurisdictions.

# **Reporting instructions:**

- Please complete the entire SSV-1 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "zero," mark the box (X) provided.

#### **Substantiated incidents of sexual violence:**

 Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.

#### **Returning forms:**

- If you need assistance, please call Greta Clark at the U.S. Census Bureau toll–free at 1–800–253–2078, or e-mail govs.ssv@census.gov
- Please return your completed questionnaire and substantiated incident forms by June 15, 2012.
- If you prefer, you may also return these forms by mail or fax.
- MAIL TO: U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- FAX (TOLL FREE): 1-888-891-2099

#### **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

#### Section I - INMATE-ON-INMATE SEXUAL VIOLENCE

## **DEFINITION OF SEXUAL VIOLENCE**

The definition of "rape" as required under the Prison Rape Elimination Act of 2003 will be operationalized by disaggregating sexual assault into two categories of inmate-on-inmate SEXUAL VIOLENCE. These categories reflect uniform definitions formulated by the National Center for Injury Prevention and Control, in "Sexual Violence Surveillance: Uniform Definitions and Recommended Data Elements," Centers for Disease Control and Prevention. The categories of inmate-on-inmate SEXUAL VIOLENCE are:

## **NONCONSENSUAL SEXUAL ACTS:**

Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

#### AND

• Contact between the penis and the vagina or the penis and the anus including penetration, however slight;

#### OR

 Contact between the mouth and the penis, vagina, or anus;

#### OR

 Penetration of the anal or genital opening of another person by a hand, finger, or other object.

# **ABUSIVE SEXUAL CONTACTS:**

Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

# **AND**

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.
- Exclude incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

allegations of	eral Bureau of inmate-on-inn SUAL SEXUAL	nate	ecora
01 ☐ Yes <b>→ a.</b>	Do you record occurrences, ones?		
	01 All		
	02 Substantiat	ed only	
b.	Do you record NONCONSENS or only comple	SUAL SEX	UAL ACTS
	01 Both attemp	oted and co	mpleted
	02 Completed	only	
Fed inn SE	ease provide the d deral Bureau of Pi nate-on-inmate NO XUAL ACTS in th finition to complete	risons for ONCONSEN e space bei	NSUAL low. Use that
how many all	uary 1, 2011, a egations of inn SUAL SEXUAL	nate-on-ir	mate
Number repo  If an allegation	rted		None
count only or		e victimizat	10115,
many were -	tions reported - (Please contact investigating alleg r to fully complete	the agency ations of se	or office
a. Substantia	ited		☐ None
The even have occur	t was investigated urred.	and detern	nined to
b. Unsubstan	tiated		None
	was insufficient to vent occurred.	make a fin	al determination
c. Unfounded	l		☐ None
The even	t was determined	NOT to hav	re occurred.
d. Investigati	on ongoing .		☐ None
	termination has no he event occurred		made as to
e. TOTAL (Sur 3a through 3	m of Items d)		☐ None

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4. Does the Federal Bureau of Prisons record allegations of inmate-on-inmate ABUSIVE	Section II – STAFF SEXUAL MISCONDUCT AND HARASSMENT
SEXUAL CONTACTS? (See definitions on page 2.)	DEFINITION OF STAFF SEXUAL MISCONDUCT
o1  Yes → Can these be counted separately from allegations of NONCONSENSUAL SEXUAL ACTS?  o1  Yes o2  No → Skip to Item 7.  o2 No → Please provide an explanation in the space below and then skip to Item 7.	The definition of STAFF SEXUAL MISCONDUCT is based on "Training for Investigators of Staff Sexual Misconduct," prepared by the National Institute of Corrections. STAFF SEXUAL MISCONDUCT includes any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors Sexual relationships of a romantic nature between staff and inmates are included in this definition.
	Consensual or nonconsensual sexual acts including:
	<ul> <li>Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;</li> </ul>
	<ul> <li>Completed, attempted, threatened, or requested sexual acts;</li> </ul>
	OR
	<ul> <li>Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.</li> </ul>
	DEFINITION OF STAFF SEXUAL HARASSMENT
<ul> <li>5. Between January 1, 2011, and December 31, 2011, how many allegations of inmate-on-inmate ABUSIVE SEXUAL CONTACTS were reported?</li> <li>Number reported None</li> <li>If an allegation involved multiple victimizations, count only once.</li> <li>6. Of the allegations reported in Item 5, how many were — (Please contact the agency or office responsible for investigating allegations of sexual</li> </ul>	The definition of STAFF SEXUAL HARASSMENT was also developed by the National Institute of Corrections. STAFF SEXUAL HARASSMENT includes repeated verbal statements or comments of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).  • Demeaning references to gender or derogatory comments about body or clothing;  OR  • Repeated profane or obscene language or gestures.
violence in order to fully complete this form.)	7. Does the Federal Bureau of Prisons record
a. Substantiated	allegations of STAFF SEXUAL MISCONDUCT?
<b>b. Unsubstantiated</b>	ones?  One Yes → Do you record all reported occurrences, or only substantiated ones?  One All One Substantiated only
c. Unfounded	02 ☐ No → Please provide an explanation in the space below and then skip to Item 10.
d. Investigation ongoing . — None	
e. TOTAL (Sum of Items 6a through 6d)	

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8.	Between January 1, 2011, and December 31, 2011, how many allegations of STAFF SEXUAL MISCONDUCT were reported?	12. Of the allegations reported in Item 11, how many were — (Please contact the agency or office responsible for investigating allegations of sexual violence in order to fully complete this form.)
	Number reported	
	<ul> <li>If an allegation involved multiple victims or staff, count only once.</li> </ul>	a. Substantiated
9.	Of the allegations reported in Item 8, how many were — (Please contact the agency or office responsible for investigating allegations of sexual violence in order to fully complete this form.)	<b>b. Unsubstantiated</b>
	a. Substantiated None	c. Unfounded
	<b>b. Unsubstantiated</b>	<b>d. Investigation ongoing</b> None
		e. TOTAL (Sum of Items 12a through 12d) None
	c. Unfounded	
		Section III – TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VIOLENCE
	d. Investigation ongoing \square None	
		13. What is the total number of substantiated
	e. TOTAL (Sum of Items	incidents reported in Items 3a, 6a, 9a, and 12a?
	9a through 9d)	Total substantiated
		incidents None
10.	Does the Federal Bureau of Prisons record allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)	incidents
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  □ Yes → Can these allegations be counted	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  1  Yes → Can these allegations be counted separately from allegations of STAFF	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  □ Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  101  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  11  Yes	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  □ Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  101  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  11  Yes	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  01  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  01  Yes 02  No → Skip to Item 13.	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  01  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  01  Yes 02  No → Skip to Item 13.	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  01  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  01  Yes 02  No → Skip to Item 13.	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  01  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  01  Yes 02  No → Skip to Item 13.	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
10.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  01  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  01  Yes 02  No → Skip to Item 13.	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  01  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  01  Yes 02  No → Skip to Item 13.	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.
11.	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 3.)  01  Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?  01  Yes 02  No → Skip to Item 13.  02  No → Please provide an explanation in the space below and then skip to Item 13.  Between January 1, 2011, and December 31, 2011, how many allegations of STAFF SEXUAL HARASSMENT were	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual violence.