

**RETURN  
TO**

**Abt Associates**  
**National Prisoner Statistics**  
**Survey**  
**10 Fawcett Street Cambridge,**  
**MA 02138**

FORM  
(2-9-2021)

NPS-CPan

**2021 Departments of**  
**Corrections COVID-19**  
**Special Data Collection**

U.S. DEPARTMENT OF JUSTICE  
 BUREAU OF JUSTICE STATISTICS  
 and ACTING AS COLLECTING AGENT  
 ABT ASSOCIATES INC.

**DATA SUPPLIED BY**

|           |           |        |           |            |           |        |                |
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| NAME      |           |        |           |            | Title     |        |                |
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**GENERAL INFORMATION**

- If you have any questions, contact the **Abt Associates Project Director, Tom Rich (617-349-2753 or tom\_rich@abtassoc.com)** or the **BJS Program Manager, E. Ann Carson (202-616-3496 or elizabeth.carson@ojp.usdoj.gov)**.
- Please type your responses directly on the survey. Use the tab key to move from field to field. Save your results by selecting File > Save in your PDF reader.
- Please complete the survey before **June 14, 2021** by emailing the survey to tom\_rich@abtassoc.com.
- Please retain a copy of the completed form for your records.

**What types of inmates are included?**

*Inmates in your custody AND inmates held in privately-operated or contract facilities between January 1, 2020 and February 28, 2021.*

- INCLUDE inmates who are unsentenced or who are sentenced to any length of time.
- INCLUDE inmates physically held in your prison facilities (e.g., prisons, penitentiaries, and correctional institutions; boot camps; prison farms; reception, diagnostic, and classification centers; release centers, halfway houses, and road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners).
- INCLUDE inmates who are temporarily absent (less than 30 days), out to court, or on work release.
- INCLUDE inmates physically held in private or contract prison facilities in your state.
- INCLUDE inmates held in your facilities who are serving a sentence for your jurisdiction and another jurisdiction at the same time.
- INCLUDE inmates held in your facilities for another jurisdiction.
- EXCLUDE inmates held in local jails and inmates held in state-operated or private facilities located in other jurisdictions.

**Definitions**

**Coronavirus** - Refers to *coronavirus disease* (COVID-19) and the virus causing the disease, *severe acute respiratory syndrome coronavirus 2*, (SARS-CoV-2).

**TEST** - Refers to the viral or PCR test for COVID-19. Do not count serology or antibody tests for COVID-19.

**ANTIBODY TEST** - Serology test to detect antibodies produced in response to COVID-19. Do not count viral or PCR tests for COVID-19 as antibody or serology tests.

**COVID-19 DEATH** - A death where COVID-19 was the single cause of death, or one of the contributing causes. The cause of death could be determined by a positive viral COVID-19 test, or classified on the official death report as suspected or corona-virus related.

**BURDEN STATEMENT**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspects of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0373, Washington, DC 20503.

**SECTION I: PRISON POPULATION, ADMISSIONS, RELEASES**

**1. Between January 2020 and February 2021, how many persons were IN THE CUSTODY OF and ADMITTED to your state-operated and private prison facilities?**

- ✓ *INCLUDE all types of admissions to your prison system, for sentences of any length or any total time served.*
- X *EXCLUDE prisoners under your jurisdiction held in local jails or in out-of-state prison facilities.*

| <b>IN CUSTODY ON--</b> |                 |  | <b>ADMITTED DURING THE ENTIRE MONTH OF--</b> |                  |  |
|------------------------|-----------------|--|----------------------------------------------|------------------|--|
| <b>2020</b>            | <b>Jan. 31</b>  |  | <b>2020</b>                                  | <b>January</b>   |  |
|                        | <b>Feb. 29</b>  |  |                                              | <b>February</b>  |  |
|                        | <b>Mar. 31</b>  |  |                                              | <b>March</b>     |  |
|                        | <b>Apr. 30</b>  |  |                                              | <b>April</b>     |  |
|                        | <b>May 31</b>   |  |                                              | <b>May</b>       |  |
|                        | <b>Jun. 30</b>  |  |                                              | <b>June</b>      |  |
|                        | <b>Jul. 31</b>  |  |                                              | <b>July</b>      |  |
|                        | <b>Aug. 31</b>  |  |                                              | <b>August</b>    |  |
|                        | <b>Sept. 30</b> |  |                                              | <b>September</b> |  |
|                        | <b>Oct. 31</b>  |  |                                              | <b>October</b>   |  |
|                        | <b>Nov. 30</b>  |  |                                              | <b>November</b>  |  |
|                        | <b>Dec. 31</b>  |  |                                              | <b>December</b>  |  |
| <b>2021</b>            | <b>Jan. 31</b>  |  | <b>2021</b>                                  | <b>January</b>   |  |
|                        | <b>Feb. 28</b>  |  |                                              | <b>February</b>  |  |

**2. Between January 1, 2020 and February 28, 2021, how many prisoners in the custody of your state-operated and in private prison facilities ----**

**a. Were RELEASED?**

- ✓ *INCLUDE all persons, sentenced to any length of time or unsentenced, released for any reason.*
- X *EXCLUDE prisoners under your jurisdiction held in local jails or in out-of-state prison facilities.*

**b. Received EXPEDITED RELEASE ① in response to the coronavirus pandemic?**

- ① *Prisoners are released prior to their scheduled and/or expected release date or parole/probation eligibility date, even if only by one day. These can include sentenced or unsentenced prisoners.*
- ✓ *INCLUDE releases in order to limit prisoner risk and exposure, or due to coronavirus related understaffing, court orders, legislative mandate, etc.*
- X *EXCLUDE prisoners under your jurisdiction held in local jails or in out-of-state prison facilities.*

**3. Of those who received EXPEDITED RELEASE (item 2b), what were the criteria that your state used to determine who should be released early?** (please check yes or no for each)

| Criteria                                      | Yes | No | N/A |                                    |
|-----------------------------------------------|-----|----|-----|------------------------------------|
| Risk-assessment score                         |     |    |     |                                    |
| Time left on sentence                         |     |    |     | Max. sent. length remaining: _____ |
| Only non-violent offenders                    |     |    |     |                                    |
| Only non-viol. Offenders with no viol. priors |     |    |     |                                    |
| Age                                           |     |    |     | Minimum age eligible: _____        |
| Health status                                 |     |    |     |                                    |
| Positive viral test for coronavirus           |     |    |     |                                    |
| Verified post-prison housing in community     |     |    |     |                                    |
| Person was unsentenced                        |     |    |     |                                    |
| Other (please specify)                        |     |    |     |                                    |

**SECTION II: PRISONER TESTING AND DEATHS**

**4. Between March 1, 2020 and February 28, 2021 ----**

**a. How many coronavirus TESTS ⓘ did your state administer to prisoners in the custody of your state-operated or in private prison facilities?**

- ⓘ Coronavirus test refers to the viral test for COVID-19. Do not count antibody or serology tests.
- ✓ INCLUDE sentenced or unsentenced prisoners tested either on or off facility grounds (e.g. at hospitals, medical centers, private labs, intake centers, or other health vendors).
- ✓ INCLUDE all tests administered, even if individuals got multiple tests over time.
- X EXCLUDE prisoners under your jurisdiction held in local jails or out-of-state prison facilities.

**b. Of the tests in item 4a, how many TESTS were POSITIVE for coronavirus?**

- X EXCLUDE “presumptive positive” test results.

**c. Individuals may test positive for coronavirus multiple times. Between March 1, 2020 and February 28, 2021, how many UNIQUE PRISONERS ⓘ in the custody of your state-operated or in private prison facilities tested positive AT LEAST ONE TIME?**

- ⓘ Multiple positive test results for the same individual should be counted only once.
- X EXCLUDE prisoners under your jurisdiction held in local jails or out-of-state prison facilities.
- X EXCLUDE antibody or serology test results.

|                |  |
|----------------|--|
| <b>Males</b>   |  |
| <b>Females</b> |  |
| <b>TOTAL</b>   |  |

**d. Of those UNIQUE PRISONERS who tested positive for coronavirus (item 4c), how many were---**

|                                                                                  |  |
|----------------------------------------------------------------------------------|--|
| <b>White</b> , <i>not of Hispanic origin</i>                                     |  |
| <b>Black</b> , <i>not of Hispanic origin</i>                                     |  |
| <b>Hispanic or Latino</b>                                                        |  |
| <b>American Indian or Alaska Native</b> , <i>not of Hispanic origin</i>          |  |
| <b>Asian</b> , <i>not of Hispanic origin</i>                                     |  |
| <b>Native Hawaiian or Other Pacific Islander</b> , <i>not of Hispanic origin</i> |  |
| <b>Two or more races</b> , <i>not of Hispanic origin</i>                         |  |
| <b>Other racial category</b> , <i>not of Hispanic origin</i>                     |  |
| <b>Unknown racial category</b> , <i>not of Hispanic origin</i>                   |  |
| <b>TOTAL</b> ( <i>Sum should equal TOTAL in Item 4c</i> )                        |  |

**5. Between March 1, 2020 and February 28, 2021, how many PRISONERS DIED from the coronavirus while in the custody of your state-operated and in private prison facilities?**

*INCLUDE all deaths from coronavirus of prisoners---*

- ✓ *IN CUSTODY of your state-operated and private prison facilities.*
- ✓ *WHILE IN-TRANSIT to an external medical facility or other prison facility.*
- ✓ *UNDER YOUR JURISDICTION but being treated at an external medical facility.*
- ✓ *Regardless of where and when the prisoner contracted COVID-19.*
- ✓ *Include all deaths where coronavirus was suspected or confirmed to be the cause of, or significant contributor to death*

X *EXCLUDE deaths of prisoners under your jurisdiction, but who died in local jails or out-of-state prison facilities.*

**a. TOTAL deaths ⓘ from coronavirus**

**ⓘ** *Deaths where coronavirus was suspected or confirmed to be the cause of death OR a significant contributor to the death.*

|                |  |
|----------------|--|
| <b>Males</b>   |  |
| <b>Females</b> |  |
| <b>TOTAL</b>   |  |

**b. Of those prisoners who DIED from coronavirus (item 5a TOTAL), how many were---**

|                                                                                  |  |
|----------------------------------------------------------------------------------|--|
| <b>White</b> , <i>not of Hispanic origin</i>                                     |  |
| <b>Black</b> , <i>not of Hispanic origin</i>                                     |  |
| <b>Hispanic or Latino</b>                                                        |  |
| <b>American Indian or Alaska Native</b> , <i>not of Hispanic origin</i>          |  |
| <b>Asian</b> , <i>not of Hispanic origin</i>                                     |  |
| <b>Native Hawaiian or Other Pacific Islander</b> , <i>not of Hispanic origin</i> |  |
| <b>Two or more races</b> , <i>not of Hispanic origin</i>                         |  |
| <b>Other racial category</b> , <i>not of Hispanic origin</i>                     |  |
| <b>Unknown racial category</b> , <i>not of Hispanic origin</i>                   |  |
| <b>TOTAL</b> ( <i>Sum should equal the item 5a TOTAL</i> )                       |  |

**c. Of those prisoners who DIED from coronavirus (item 5a TOTAL), how many were ages--**

|                                                            |  |
|------------------------------------------------------------|--|
| <b>24 years or younger</b>                                 |  |
| <b>25 - 34 years</b>                                       |  |
| <b>35 - 44 years</b>                                       |  |
| <b>45 - 54 years</b>                                       |  |
| <b>55 - 64 years</b>                                       |  |
| <b>65 - 74 years</b>                                       |  |
| <b>75 years or older</b>                                   |  |
| <b>TOTAL</b> ( <i>Sum should equal the item 5a TOTAL</i> ) |  |

**d. How many of the total deaths (item 5a TOTAL) were attributed to coronavirus based on a medical examiner's or coroner's evaluation (such as an autopsy, postmortem exam, or review of medical records)?**

**e. Of those female prisoners who DIED from coronavirus (item 5a FEMALES), how many were pregnant at the time of death?**

- e. **Between March 1, 2020 and February 28, 2021, how many **TOTAL PREGNANT FEMALES** were in the custody of your state-operated or in private prison facilities for any period of time?**

**SECTION III: TRANSMISSION MITIGATION AND VACCINATION**

6. **At any time between March 1, 2020 and February 28, 2021, did your department of corrections implement any of the following mitigation tactics in response to the coronavirus pandemic in its state-operated and private correctional facilities?** (please check one box for each policy)

|                                                                                                                        | <b>In no facilities</b> | <b>In some facilities</b> | <b>In all facilities</b> | <b>Unknown</b> |
|------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------------|--------------------------|----------------|
| <b>Testing of all new prisoners at admission</b> (not incl. antibody/serology tests)                                   |                         |                           |                          |                |
| <b>Automatic quarantine of new admissions</b>                                                                          |                         |                           |                          |                |
| <b>Lockdown of prisoners in cells</b>                                                                                  |                         |                           |                          |                |
| <b>Suspension of transfers between prisons or local facilities</b> (complete halt of transfers for any length of time) |                         |                           |                          |                |
| <b>Suspension of educational programs</b> (complete halt of programs for any length of time)                           |                         |                           |                          |                |
| <b>Suspension of drug and alcohol treatment programs</b> (complete halt of programs for any length of time)            |                         |                           |                          |                |
| <b>Suspension of prison labor programs</b> (complete halt of programs for any length of time)                          |                         |                           |                          |                |
| <b>Suspension of in-person family visitation to prisoners</b> (complete halt of visitation for any length of time)     |                         |                           |                          |                |
| <b>Suspension of in-person legal visitation to prisoners</b> (complete halt of visitation for any length of time)      |                         |                           |                          |                |
| <b>Suspension of ministry/religious service programs</b> (complete halt of programs for any length of time)            |                         |                           |                          |                |
| <b>Daily temperature checks for prisoners</b>                                                                          |                         |                           |                          |                |
| <b>Temperature checks for staff at beginning of each shift</b>                                                         |                         |                           |                          |                |
| <b>Isolation/quarantine of symptomatic prisoners</b>                                                                   |                         |                           |                          |                |
| <b>Enforced sick or administrative leave for symptomatic staff</b>                                                     |                         |                           |                          |                |
| <b>Provision of hand sanitizer for prisoners</b>                                                                       |                         |                           |                          |                |
| <b>Provision of face masks to prisoners</b>                                                                            |                         |                           |                          |                |
| <b>Provision of face masks or gloves to staff</b>                                                                      |                         |                           |                          |                |
| <b>Viral testing of prisoners before release</b> (not incl. antibody/serology tests)                                   |                         |                           |                          |                |
| <b>Antibody/serology testing of staff</b>                                                                              |                         |                           |                          |                |
| <b>Antibody/serology testing of prisoners</b>                                                                          |                         |                           |                          |                |

**7. On what date did your department of corrections first ADMINISTER A VACCINE ⓘ for coronavirus to prisoners or staff in your state-operated or private correctional facilities?**

ⓘ The U.S. Food and Drug Administration approved the first coronavirus vaccine for use on December 11, 2020. Please report the date the first DOC staff or prisoner was given the vaccine shot in your state.

- ✓ INCLUDE sentenced or unsentenced prisoners or staff who came into contact with prisoners OR who worked inside state-operated or private correctional facilities.
- ✓ INCLUDE vaccinations of staff that occurred from vaccine stock given to the department of corrections
- X EXCLUDE prisoners under your jurisdiction held in local jails or out-of-state prison facilities.

|          |
|----------|
| , 20____ |
|----------|

**8. By February 28, 2021, how many staff and prisoners had received at least one dose of the coronavirus vaccine?**

|                                           |  |
|-------------------------------------------|--|
| <b>Sentenced or unsentenced prisoners</b> |  |
| <b>Staff members</b>                      |  |
| <b>TOTAL</b>                              |  |

**9. Vaccines were in limited supply in the months after FDA approval. What policies were adopted by your department of corrections for vaccination distribution?** (please check one box for each policy)

| Policy                                                                                                                                 | Yes, we followed this policy | No, this was not a policy we followed | N/A |
|----------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------------------------|-----|
| <b>All staff members in facilities were vaccinated before any prisoners received the vaccine</b>                                       |                              |                                       |     |
| <b>All prisoners (with the exception of those who were medically unable to be vaccinated) were required to receive the vaccine</b>     |                              |                                       |     |
| <b>Prisoners were allowed to opt-in or opt-out of vaccination</b>                                                                      |                              |                                       |     |
| <b>All staff members (with the exception of those who were medically unable to be vaccinated) were required to receive the vaccine</b> |                              |                                       |     |
| <b>Staff members were allowed to opt-in or opt-out of vaccination</b>                                                                  |                              |                                       |     |
| <b>Older prisoners were prioritized for vaccination, over younger prisoners</b>                                                        |                              |                                       |     |
| <b>Prisoners with chronic or other infectious diseases were prioritized for vaccination, over healthy prisoners</b>                    |                              |                                       |     |

|                                                                                                                                                                      |  |  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
|                                                                                                                                                                      |  |  |  |
| <b>Prisoners soon to be released were prioritized for vaccination, over those who would not be released in the near future</b>                                       |  |  |  |
| <b>Newly admitted prisoners were prioritized for vaccination, over those already in the general population</b>                                                       |  |  |  |
| <b>Prisoners were offered incentives to receive the vaccine, such as free phone calls, extra family visitation sessions, or money added to their canteen balance</b> |  |  |  |

## SECTION IV: STAFF QUESTIONS

**10. On February 28, 2021, how many unique STAFF MEMBERS were employed by your department of corrections and who worked inside one of your state-operated correctional facilities?**

- INCLUDE correctional officers, health care workers, janitorial staff, and any other paid personnel who came into contact with prisoners OR who worked inside a correctional facility operated by your DOC.
- EXCLUDE staff who work for private correctional operators or prison volunteers.
- EXCLUDE DOC-employed staff who do not work in correctional facilities.

**11. Between March 1, 2020 and February 28, 2021, how many STAFF MEMBERS employed by your department of corrections and who worked inside one of your state-operated correctional facilities----**

**a. Tested POSITIVE for the coronavirus at least once?**

- INCLUDE any positive viral coronavirus test, regardless of where it was administered.
- INCLUDE paid personnel who either came into contact with prisoners OR who worked inside a correctional facility operated by your DOC.
- EXCLUDE antibody or serology tests, as well as "presumptive positive" test results.
- EXCLUDE staff who work for private correctional operators or prison volunteers, and DOC-employed staff who do not work in correctional facilities

**b. DIED ⓘ from the coronavirus?**

- ⓘ All deaths where coronavirus was the cause of death OR a significant contributor to the death, regardless of where and when the staff member contracted coronavirus